

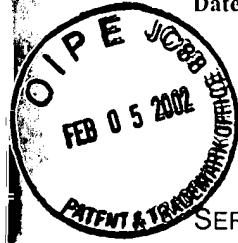
MIM

02-08-02

Sealed
W

Express Mail Label No.: EK085153357US
Date of Deposit: February 5, 2002

PATENT APPLICATION
Attorney Docket No. 24220-012



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Srikanth Sundararajan
SERIAL NUMBER : 09/994,386 EXAMINER : Not Yet Assigned
FILING DATE : November 27, 2001 ART UNIT : 2161
FOR : METHOD AND SYSTEM FOR MANAGING COMPONENT CHANGES
TO A BUSINESS CRITICAL APPLICATION IN A PRODUCTION
ENVIRONMENT

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

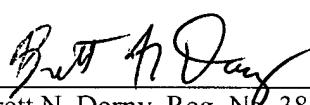
1. Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.);
2. Response to Notice to File Missing Parts (1 pg.);
3. Check No. 11599 in the amount of \$435.00 to cover Missing Parts fee;
4. Combined Declaration and Power of Attorney for Patent Application (3 pgs.); and
5. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 24220-012. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Date: February 5, 2002

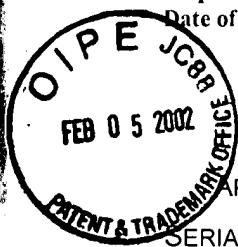

Brett N. Dorn, Reg. No. 38,860
Attorney for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

LIT 1315169v1



30623

PATENT TRADEMARK OFFICE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Srikanth Sundararajan
SERIAL NUMBER : 09/994,386 EXAMINER : Not Yet Assigned
FILING DATE : Nov. 27, 2001 ART UNIT : 2161
FOR : METHOD AND SYSTEM FOR MANAGING COMPONENT CHANGES
TO A BUSINESS CRITICAL APPLICATION IN A PRODUCTION
ENVIRONMENT

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

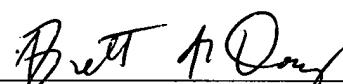
RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts mailed December 6, 2001, Applicants submit herein the executed Combined Declaration and Powers of Attorney; payment of the surcharge of \$435.00 as set forth in 37 C.F.R. §1.16(e); and a copy of Notice to File Missing Parts. This response is due on or before February 6, 2002, with no extension.

The Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 24220-012.

Respectfully submitted,

Date: February 5, 2002



Brett N. Dorn 35,860
Attorney for Applicant
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,386	11/27/2001 FEB 05 2002 JCBB PATENT & TRADEMARK OFFICE	Srikanth Sundararajan	24220-012

CONFIRMATION NO. 7267 FORMALITIES LETTER



OC000000007163312

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

02/12/2002 MBERME 00000101 09994386

01 FC:201 370.00 0P
02 FC:205 65.00 0P

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE